



Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

Complete if known

Application Number	09/759,684
Filing Date	January 10, 2001
First Named Inventor	Larry L. Hood
Group Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	155694-0067

RECEIVED

MAY 12 2003

TECHNOLOGY CENTER R3700

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
dm	1.	6,306,075		Shaddock	10-23-2001	
dm	2.	5,899,920		DeSatnick et al.	05-04-1999	
dm	3.	5,957,921		Mirhashemi et al.	09-28-1999	
dm	4.	5,814,043		Shapeton	09-29-1998	
dm	5.	5,634,921		Hood et al.	06-03-1997	
dm	6.	5,571,101		Ellman et al.	11-05-1996	
dm	7.	5,695,492		Brown	12-09-1997	
dm	8.	6,056,739		Klopotek	05-02-2000	
dm	9.	5,423,815		Fugo	06-13-1995	
dm	10.	5,533,999		Hood et al.	07-09-1996	
dm	11.	6,213,997		Hood et al.	04-10-2001	
dm	12.	5,749,871		Hood et al.	05-12-1998	
dm	13.	5,376,089		Smith	12-27-1994	
dm	14.	5,277,696		Hagen	01-11-1994	
dm	15.	5,413,574		Fugo	05-09-1995	
dm	16.	5,437,657		Epstein	08-01-1995	
dm	17.	5,480,398		Eggers	01-02-1996	
dm	18.	5,261,906		Pennino et al.	11-16-1993	
dm	19.	5,346,491		Oertli	09-13-1994	
dm	20.	5,217,459		Kamerling	06-08-1993	
dm	21.	5,312,401		Newton et al.	05-17-1994	
dm	22.	5,190,517		Zieve et al.	03-02-1993	
dm	23.	5,779,696		Berry et al.	07-14-1998	
dm	24.	5,195,954		Schnepp-Pesch et al.	03-23-1993	
dm	25.	5,071,418		Rosenbaum	12-10-1991	
dm	26.	5,025,811		Dobrogowski et al.	06-25-1991	
dm	27.	5,174,304		Latina et al.	12-29-1992	
dm	28.	6,099,522		Knopp et al.	08-08-2000	
dm	29.	4,988,334		Hornlein et al.	01-29-1991	
dm	30.	4,907,585		Schachar	03-13-1990	
dm	31.	5,035,695		Weber, Jr. et al.	07-30-1991	
dm	32.	4,931,047		Broadwin et al.	06-05-1990	
dm	33.	5,015,227		Broadwin et al.	05-14-1991	
dm	34.	4,898,169		Norman et al.	02-06-1990	
dm	35.	4,747,820		Hornlein et al.	05-31-1988	

Examiner: David M. ShayDate Considered: November 19, 2003

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next
communication to applicant.



Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 4

Complete if known

Application Number	09/759,684
Filing Date	January 10, 2001
First Named Inventor	Larry L. Hood
Group Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	155694-0067

RECEIVED

MAY 12 2003

<i>dm</i>	36.	5,054,906	Lyons, Jr.	10-08-1991
<i>dm</i>	37.	4,976,709	Sand	12-11-1990
<i>dm</i>	38.	5,137,530	Sand	08-11-1992
<i>dm</i>	39.	4,633,870	Sauer	01-06-1987
<i>dm</i>	40.	4,729,372	L'Esperance, Jr.	03-08-1988
<i>dm</i>	41.	4,739,759	Rexroth et al.	04-26-1988
<i>dm</i>	42.	4,798,204	L'Esperance, Jr.	01-17-1989
<i>dm</i>	43.	4,593,691	Lindstrom et al.	06-10-1986
<i>dm</i>	44.	4,590,934	Malis et al.	05-27-1986
<i>dm</i>	45.	4,500,832	Mickiewicz	02-19-1985
<i>dm</i>	46.	4,461,294	Baron	07-24-1984
<i>dm</i>	47.	5,188,125	Kilmer et al.	02-23-1993
<i>dm</i>	48.	4,419,747	Jordan	12-06-1983
<i>dm</i>	49.	4,386,608	Ehrlich	06-07-1983
<i>dm</i>	50.	4,381,007	Doss	04-26-1983
<i>dm</i>	51.	4,301,802	Poler	11-24-1981
<i>dm</i>	52.	4,347,842	Beale	09-07-1982
<i>dm</i>	53.	4,805,616	Pao	02-21-1989
<i>dm</i>	54.	4,674,499	Pao	06-23-1987
<i>dm</i>	55.	4,326,529	Doss et al.	04-27-1982
<i>dm</i>	56.	3,963,030	Newton	06-15-1976
<i>dm</i>	57.	3,699,967	Anderson	10-24-1972
<i>dm</i>	58.	3,595,239	Petersen	07-27-1973
<i>dm</i>	59.	3,776,230	Neefe	12-04-1973

TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			
	60.		WO/90/12618		Raden	11-01-1990	
	61.						

Examiner: David M. Shay Date Considered: November 19, 2003

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next
communication to applicant.



Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of 4

Complete if known

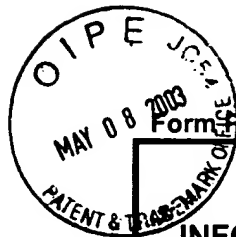
Application Number	09/759,684
Filing Date	January 10, 2001
First Named Inventor	Larry L. Hood
Group Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	155694-0067

RECEIVED
MAY 12 2003
TECHNOLOGY CENTER R3700**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	62.	H. TREUMER ET AL., "Unipolar Scleral Diathermy for Changing Corneal Refraction," Department for Ophthalmology, University Eye Clinic Kiel
	63.	H. TREUMER ET AL., "Temperature Controlled Bipolar Diathermy of the Sclera for Controlled Refractive Changes of the Cornea," Department of Ophthalmology University Eye Clinic Kiel, Fortschritte Ophthalmol (1989) 86: pgs. 584-588
	64.	MCDONNEL, Peter, et al. "Radial Thermokeratoplasty or Hyperopia," Refractive & Corneal Surgery, Vol. 5, Jan./Feb. 1989, pp. 50-54
	65.	SEBBEN, Jack E. "Cutaneous Electrosurgery", pp. 14-15, 19-30, 42-43, 48, 52-55, 62-62, 77-83, 100-105.
	66.	"Anatomy of the Eye", Physiology of the Eye, Chapter 1, pp. 3, 6-8, 21-22
	67.	MENDEZ, Antonio "Radiofrequency for Hyperopia Treatment", Beverly Hills presentation, August 28, 1993
	68.	VOYLES, Randel et al. "Biophysics of Electrosurgery", Excerpts from American College of Surgeons Meeting, San Francisco, CA, October 1993
	69.	DOSS, James D., et al. "An Electrothermal Technique for the Alteration of Corneal Curvature", Los Alamos Scientific Laboratory Informal Report LA-7155-MS, February 1978, pp. 1-6
	70.	FUGO, Richard J. "Bipokeratoplasty: Using Cautery to Advantage", Ocular Surgery News, February 1993, pp. 101 & 113
	71.	TREMBLY, Stuart B. "Combined Microwave Heating and Surface Cooling of the Cornea", Transactions of Biomedical Engineering, Volume 38, No. 1, January 1991, pp. 85-91
	72.	FELDMAN, Sandy T., et al. "Regression of Effect Following Radial Thermokeratoplasty in Humans", Refractive and Corneal Surgery, Volume 5, September/October 1989, pp. 289-291
	73.	MCDONNELL, Peter J. "Radial Thermokeratoplasty for Hyperopia". Refractive and Corneal Surgery, Volume 5, January/ February 1989, pp. 50-54

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

4

of

4

Complete if known

Application Number	09/759,684
Filing Date	January 10, 2001
First Named Inventor	Larry L. Hood
Group Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	155694-0067

RECEIVED

MAY 12 2003

TECHNOLOGY CENTER R3700

	74.	DOSS, James D., et al. "A Technique for the Selective Heating of Corneal Stroma", Contact Lens, Volume 6, Number 1, pp.13-17
	75.	

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if known

Application Number 09/759,684
 Filing Date January 10, 2001
 First Named Inventor Larry L. Hood
 Group Art Unit 3739
 Examiner Name David M. Shay
 Attorney Docket Number 155694-0067

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
<i>ms</i>	1.	4,955,378		Grasso	09/11/1990	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

RECEIVED

AUG 06 2003

TECHNOLOGY CENTER H3700

Examiner:

David Shay

Date Considered:

November 18, 2003

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.